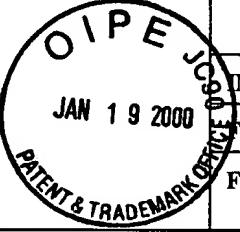


Part of paper No. 2

FORM PTO-1449 (REV. 2-32)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NO.: 02193	SERIAL NO.: 09/453,364 <i>09/453,364</i>			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT						
 <p>JAN 19 2000 IN RE APPLICATION OF: Robert C. Slane FILING DATE: December 1, 1999 FOR: Establishing and Managing Grantor Retained Annuity Trusts Funded by Nonqualified Stock Options</p>						
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	RECEIVED FILING DATE IF APPROPRIATE
						<i>100</i>
FOREIGN PATENT DOCUMENTS				Group 2700		
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION
						YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
Franz, D.R., "How to Value Gifts of Employee Stock Options," The Tax Advisor, December 1998, pp. 848-855. <i>RECEIVED 1/12/2000</i>						
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Estate Planning & Taxation Coordinator, Grantor Retained Annuity Trust (GRAT), ¶ 39,141, September 7, 1999. <i>RECEIVED 1/12/2000</i>						
Title 26 - Internal Revenue Code, Subtitle A, Chapter 1, Subchapter P, Part V, Subpart A, Sec. 1274, January 26, 1998. <i>RECEIVED 1/12/2000</i>						
Title 26 - Internal Revenue Code, Subtitle B, Chapter 14, Sec. 2702, January 26, 1998. <i>RECEIVED 1/12/2000</i>						
Title 26 - Internal Revenue Code, Subtitle F, Chapter 77, Sec. 7520, January 26, 2000. <i>RECEIVED 1/12/2000</i>						
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Title 26 - Code of Federal Regulations, Volume 12, Part 1, [Revised April 1, 1999], Sec. 1.7520-1, pp. 1011-1012. <i>RECEIVED 1/12/2000</i>						
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Title 26 - Code of Federal Regulations, Volume 13, Parts 2 to 29, [Revised April 1, 1999], Sec. 20.7520-3, pp. 526-530. <i>RECEIVED 1/12/2000</i>						
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EXAMINER <i>AA Fischer</i> 8/25/02 Considered all.						
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.						